HYPERVIB IIa

A DETAILED NUMERICAL MODEL PROPOSED FOR FUTURE COMPUTER PROGRAM IMPLEMENTATION TO EVALUATE THE PENETRATION SPEED OF VIBRATORY DRIVEN SHEET PILES



Dr. Alain E. Holeyman Irvine, September 1993

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1. INTRODUCTION

This interim report presents the results of the fundamental development of a detailed model aimed at representing the dynamic response of soils under cyclic loading. The characterization of the soil model is provided herein as phase IIa of the Hypervib project. The model is to be incorporated into a numerical algorithm under phase IIb, such that numerical simulations can be produced with a single soil layer loaded by a rigid sheet pile actuated by a vibrator. Based on results of numerical simulations, the basic or refined soil model developed under phase II would be incorporated into a potentially more complex model simulating several soil layers and the elasticity of the sheet pile (Phase III). The numerical substantiation of the laws governing the soil behavior of the proposed model (Phase IIa) is provided on the enclosed computer diskette in the form of Excel spread sheets and charts.

2. LOADING CONDITIONS

The source of the cyclic loading acting upon the soil is a sheet pile being activated by a vibrator. The vibrations are essentially vertical and as a first approximation the vibration pattern of the surrounding soil can be considered to posses cylindrical symmetry. Although stresses are often used as the primary boundary condition in laboratory experiments, it is our opinion that in the case of a vibrating sheet pile, the governing boundary condition should be cinematic rather than dynamic: calculations performed with the phase I program show that the vibratory behavior (i.e. the amplitude of the movement) of the sheet pile itself is not strongly influenced by the soil resistance. In soft soils the shear stress resisting the sheet pile movement is small while it is higher in stiffer soils. It is therefore of interest to base the present soil model on strain-controlled cyclic shear tests rather than stress-controlled shear tests.

The sheet pile will be represented essentially by a rigid mass acted upon by the inertial effects of the vibrator eccentric masses, and deriving restrain from the dynamic reactions of the surrounding soil. The model used to represent the soil reactions is described below.

3. MODEL GEOMETRY

For the purpose of the present analysis, the soil reactions will be separated into the skin friction and the toe resistance. The toe resistance will be represented by a single degree of freedom (sdof), commonly utilized in wave equation calculations (Holeyman, 1984). Because of its preponderance in the study of vibration and penetration of sheet piles, the skin friction will be addressed by a more complex model that aims at encompassing the fundamental aspects of the vibratory behavior of the soil around the sheet pile.

The geometric shape of the soil model surrounding the sheet pile is proposed to have cylindrical symmetry, as shown in Fig. 1. It is a disk with a thickness that increases linearly with the radius. Normalized to the penetration depth of the sheet pile, it has a thickness provided by the following equation:

$$h = h_{o} \cdot (1 + 0.03 \cdot (r - r_{o})/r_{o})$$
(1)

The increase of the disk thickness with the radial distance tends to simulate the geometrical damping provided by the half space of soil located below the toe of the sheet pile. The equivalent radius of the sheet pile is obtained from perimeter considerations:

$$r_o = perimeter / 2 \pi$$
 (2)

The outer boundary of the model is fixed for practical reasons based on a trade-off between calculation time and zone where the evaluation of the vibrations is of interest. An energy absorbing boundary condition limits the lateral extent of the model at a distance large enough to ensure that deformations stay within the elastic range and to avoid artificial energy reflections.

The system of cylindrical waves propagating within the geometric model will be calculated by discretizing the medium into concentric rings that possess individual masses and that transmit forces to their neighbors. The shear force-displacement relationship between successive rings is established based on the stress-strain relationship. Because of the complexity and multitude of factors affecting this relationship, it will be referred to as "constitutive relationship", or "constitutive laws governing shear stress-strain behavior". Movement of the rings is evaluated from the time integration of the laws of motion, and in particular from the acceleration resulting from the net unbalanced loads acting on a particular ring.

Masses of the rings are obtained using the following formula:

$$M_{i} = \rho \cdot \pi \cdot (r_{i+1}^{2} - r_{i}^{2}) \cdot (h_{i+1} + h_{i}) / 2$$
(3)

Inter-ring reactions are obtained using the following relationships:

$$T_i = 2 r_i \cdot h_i \cdot G' \cdot (u_{i+1} - u_i)$$
 (4)

with G' representing the generalized secant shear modulus as discussed in Section 4.

Movement of the rings are evaluated using the following set of equations:

$$a_i = (T_{i+1} - T_i) / M_i$$
 (5)

$$v_i(t+dt) = v_i(t) + a_i dt$$
 (6)

$$u_i(t+dt) = u_i(t) + v_i(t+dt) \cdot dt + \frac{1}{2} a_i \cdot dt$$
 (7)

4. CONSTITUTIVE RELATIONSHIP

The constitutive relationship proposed for the representation of the large-strain, dynamic and cyclic shear stress-strain behavior of the medium surrounding the vibrating sheet pile will be described by several laws addressing the following elements:

- Static stress-strain law expressing nonlinear behavior under monotonic loading and hysteresis upon strain reversal
- Shear modulus at small strains and ultimate shear strength based on soil characterization: nature, void ratio, overconsolidation ratio; all of which should be correlated to qc and FR obtained from CPT tests.
- Softening and increase of hysteretic damping with increasing strain based on soil characterization
- Effect of strain rate on initial shear modulus and ultimate strength
- Degradation of properties resulting from the application of numerous cycles
- Generation of excess pore pressure leading to liquefaction and substantial loss of resistance
- Accommodation of variable strain amplitude history

The following sections address these components of the constitutive relationship.

4.1. Static Stress-strain behavior

A typical stress-strain law is represented in Fig. 2, which highlights the following fundamental parameters:

Gmax:	initial (or tangent) shear modulus
Smax:	ultimate shear strength
Gs:	secant (or equivalent) shear modulus
λ:	hysteretic (or intrinsic) damping ratio

Both Gs and λ are strain-dependent parameters that need to be described by specific laws.

4.2. Initial Shear modulus and ultimate shear strength

Numerous studies have dealt with the initial shear modulus to be used in earthquake engineering, and are summarized in Figs. 3 through 6. However, because most of them are supported by parameters determined in the laboratory we recommend an empirical approach based on correlations with CPT data, as discussed in Section 5:

Gmax = K . qc	(8)
Smax = Beta. fs	(9)

4.3. Secant Shear Modulus and Hysteretic Damping

As can be observed in Fig. 2, Gs decreases with the shear strain during the initial monotonic loading. The curve that represents the initial monotonic loading is referred to as the initial "backbone" curve, because it also serves as the basis to generate the family of curves corresponding to unloading and reloading. A mathematical formulation due to Kondner (1963) is frequently employed to describe the initial backbone curve in earthquake engineering:

$$\eta = \tau / \tau_{max} = \delta / (\delta + 1) \qquad \text{with} \qquad \delta = \gamma / \gamma_{r} = \gamma. \text{Gmax} / \tau_{max} \qquad (10)$$

. . . .

(11)

It is of interest to show the hyperbolic law using reduced variables η , the mobilization ratio and δ , the relative shear, as shown in Fig. 7 (Chart 7). γ_r is called the reference strain. Two of the parameters Gmax, γ_r , and τ_{max} are generally adjusted from laboratory experiments. In the case of CPT data, we propose to use the following values:

τ_{max}= Smax

From the point of maximum straining, the unloading curve is described by the following equation:

$$\tau - \tau_{o} = (\gamma - \gamma_{o}) / (1/\text{Gmax} + (\gamma - \gamma_{o})/2\tau_{\text{max}})$$
(12)

It can be observed that the unloading curve conforms to what are known as Masing's rules 1 and 2 (Masing, 1926):

<u>Rule 1:</u> The shear tangent modulus at each stress-strain reversal assumes a value equal to the initial tangent modulus of the backbone curve, Gmax,

<u>Rule 2:</u> Up to the point of intersection with the line described by the stress-strain curve of the previous cycle, the shape of the reloading curve of the subsequent cycle is the same as that of the backbone curve enlarged by a factor of two.

The energy contained in a loop depends for a given soil on the amplitude of the cyclic strain. Empirical data collected on the damping ratio is presented in Fig. 8 as a function of the cyclic shear strain γ_c . It can be noted that the damping ratio increases with γ_c as the soil undergoes higher plastic deformations.

We propose to utilize the unifying approach recently developed by Vucetic and Dobry (1991) to accommodate the influence of the nature of the material characterized in Fig. 9 by the plasticity index. The damping ratio obtained by integrating directly the area defined by a loop in the stress-strain diagram has been represented also in Fig. 5 to demonstrate the ability of the hyperbolic law in reproducing the experimental observation. The PI influencing the value of the reference strain γ_r will be correlated to the friction ratio as discussed in Section 5.

4.4. Strain Rate Effects

Although it is well known that undrained modulus and shear strength increase with increasing strain rate (see Fig. 10), experimental data generated under different apparatuses and loading conditions lead to different conclusions. Based on our review of the literature, it seems that a viscosity mechanism would provide a satisfactory framework for understanding the strain rate effect observed when comparing fast and slow undrained monotonic stress-strain curves, as well as for explaining the roundness of the loop tips during a sinusoidally strain-controlled cyclic test. Evidence would point to the fact that sands and non plastic silts have very small viscosity in that their stress-strain loops exhibit sharp rather than rounded tips.

The mathematical functions proposed in the literature to represent the nonlinear viscosity also depend on the observations. We propose to adopt a power law:

$$\tau dyn = \tau stat . (1 + J . \dot{\gamma}^{n})$$
(13)

The advantage of that mathematical form is that resistance does not become zero at zero strain rate but that it takes orders of magnitude of variation in the strain rate to provide tangible increases in both the modulus and the ultimate strength. The J coefficient and n exponent depend on the nature of the soil. Based on pile driving data, we propose to use n=0.2 and J=0.3 s^{-0.2} for plastic soils. J should therefore essentially depend on the plasticity of the soil, and thus on the FR obtained from CPT tests.

4.5. Degradation Law

When subjected to undrained cyclic loading involving a number N of large strain cycles, the soil structure continuously deteriorates, the pore pressure increases, and the secant shear modulus decreases with N. This process is called cyclic stiffness degradation, and for the type of loading involved with the vibratory penetration of sheet piles, can be best characterized on the basis of strain controlled tests. Typical results of strain-controlled tests are provided in Fig. 11, where the degradation

is clearly expressed by the decrease of the amplitude of the peak stress mobilized at successive cycles.

The quantification of the degradation process call for the introduction of the degradation index Δ , defined by:

$$\tau_{n} = \Delta \cdot \tau_{1} \tag{14}$$

Laboratory results conducted at constant cyclic strain show that in many soils, the degradation index can be approximated by the relationship (see Fig. 12):

 $\Delta = N^{-t} \tag{15}$

The exponent t, called degradation parameter, depends mainly on the amplitude of the cyclic strain and the nature of the material (PI), as indicated in Fig. 13 (Vucetic, 1993). It is noteworthy that the degradation parameter assumes a zero value at strains smaller than what is called the cyclic threshold shear strain, $\gamma_{\tau U}$. The threshold strain increases with the plasticity of the soil, as suggested in Fig. 13.

4.6. Soil liquefaction

For some time, it has been recognized by earthquake engineers that liquefaction of loose sandy soils was a major cause of potential damage during earthquake induced cyclic loading. In parallel, vibration induced compaction of saturated sands has received attention not only from the earthquake engineering community, but also from the vibro-compaction specialists. Recent advances tend to indicate that build up of pore pressures (eventually leading to liquefaction) and volume reduction of cyclically loaded materials are the expression of the same phenomenon, i.e. the irreversible tendency for a particulate arrangement to achieve a denser packing when sheared back and forth.

Under drained conditions, the volume reduction is immediate (see Fig. 14). Under undrained conditions, the tendency for volume reduction is expressed by an increase in the pore water pressure (see Fig. 15), such that the effective stress reduces to a value corresponding to equilibrium on the volumetric unloading curve, as shown in Fig. 16. It is then required to wait for the sample to consolidate in order to see the volume reduction take place. The Seed school of earthquake engineering endorses a stress driven evaluation of the liquefaction condition. Liquefaction occurs essentially when the excess pore pressure becomes equal to the total stress, at which point the effective stress has become zero, and the frictional component of the resistance vanishes. The medium behaves like a fluid, with a very low residual resistance, the value of which may be assessed using the concepts of critical state soil mechanics (Schoffield and Wroth, 1968). The residual strength of liquefied soil has been studied to evaluate the post-earthquake stability of slopes; Fig. 17 provides an indication of values recommended by Seed (1984).

Because the Seed approach to liquefaction evaluation is in great part founded on empirical observations and under the assumption of a plane strain horizontal cyclic shear stress loading (Seed and De Alba, 1986), we consider it perilous to apply it to a situation where the shear is applied along vertical cylinders. By contrast, the Dobry school of earthquake engineering, which endorses a strain driven evaluation of the build up of pore pressure allows a more direct, and therefore more reliable, transposition to the problem of the vibrations induced by a vertically vibrating sheet pile.

Most importantly, it would appear that the void ratio change resulting from cyclic loading is more uniquely related to a cyclic strain history than to a cyclic stress history, as evidenced in the early tests conducted on drained sands by Youd (1972). In addition, this framework of analysis enables the

threshold cyclic strain to encompass in a single concept the intrinsic relationship between degradation and pore pressure build-up, with the advantage that it can be applied to general categories of soils (sands to clays).

4.7. Generalized strain history

Because the constitutive relationships parameters are generally established on the basis of constant strain, laboratory controlled tests, it is necessary to formulate a means to follow the dynamic behavior of the medium under the non regular types of loading present during the vibro-penetration of a sheet pile: start-up and turn-off, progressive modification of soil properties resulting from degradation, etc. We will therefore introduce two additional Masing rules to accommodate non-repetitive straining paths:

<u>Rule 3:</u> If the reloading stress-strain curve intersects the backbone curve, it subsequently follows the path described by this backbone curve, and

<u>Rule 4:</u> If the current reloading (unloading) curve intersects the line described by the previous reloading (unloading) curve, by approaching it from within the loop, the new curve will follow this path described by the previous curve.

Degradation can also be represented under irregular loading, provided that a degraded backbone curve is used instead of the initial backbone curve. The degraded backbone curve is completely defined by the degradation index Δ , the equivalent value of which must be ascertained based on the amplitude and number of previous straining cycles. This will require to update the current degradation index using the following equations:

$$N_{eq} = \Delta^{(-1/t)}$$
(16)

$$\Delta = (N_{eq} + 1)^{-t} \tag{17}$$

5. CPT EVALUATION OF PARAMETERS

The CPT has been chosen as the basic sounding upon which the evaluation of the vibro-penetration of sheet piles is to be conducted. It provides simple, yet ad hoc parameters that can be related directly or indirectly to the parameters necessary to model the soil behavior. This section summarizes the proposed approach; to be refined based on full scale tests to be conducted by the BBRI.

Because an number of constitutive laws call for a value of the plasticity index to account for the fineness and activity of the soil, a basic correlation is proposed to relate PI to the friction ratio. It is generally accepted that the friction ratio as obtained from the electric CPT test is a significant index that allows the categorization of soil types. Several empirical correlations have been established between friction ratio (FR) and fines contents (<16 μ m per Begemann, 1965 and <74 μ m per ASTM classification). Table 1 summarizes deductions made from the synthesis of different soil classification systems; results are presented graphically in Fig. 18 (Chart 2).

ΡΙ

Based on these comparisons, we propose the following correlation:

$$PI = 50 .(1 + Tanh (FR - 3.5\%))$$
 (18)

formula which is also represented in Fig, 18 to confirm its relevance.

Gmax

Smax

G

Gmax = K . qc	(19)
with K=15	(20)

	Smax = Beta . f	is to account for limited displacement induced by sheet pile with Beta, friction modifier shown in Fig. 19 (Chart 3) and given by:	(21)
		Beta = 0.65 + 0.35 . Tanh 1.5(FR-3.5%)	(22)
γ _r	γ _r = Smax/Gma	x = Beta . fs / (15 qc) , per (19), (20), and (21) = Beta . FR / 15 = 2 γ _{τυ}	(23) (24)
γ _{τυ}	γ _{τυ} = Beta . FR	/30 Compare Fig. 19 (Chart 4) and 20 (experimental data) to observe good agreement of proposed correlations	(25)

G given by hyperbolic law (equation (10)) See Fig. 22 (Chart 5)

t	$t = (\gamma/\gamma_{\tau \upsilon} - 1)^{\frac{1}{2}}$	/ (PI/2 + 25)	(26)
		Compare Fig. 23 (Chart 6a) to Fig. 13 (experimental data) to observe good correlation based on Pl See Figs. 24 trough 28 for degradation parameter t based on FR	
Δ	$\Delta = N^{-t}$		(27)
		for different friction ratios	

Pore Pressure Generation

du/σ' = ¼ (Rel. En. Loss) . ln (1 + ½ κ)	(28)
See Fig. 22 (Chart 5) for Relative Energy Loss	
κ = damage parameter (Finn, 1981), given by:	
$\kappa = \xi e^{\lambda \gamma}$	(29)
$\xi =$ length of strain path	
= 4 N γ , for constant amplitude cy	/cles
$\lambda = 5$, per Fig. 33	
See Fig. 34 (Chart 7) for conformance of e	quation (29)
Compare Fig. 35 (chart 8) to Fig. 15 for agreement	
See Fig. 36 for influence of N on pore pressure buildup	
ar	
aptmax = ac / 1.3	(30)

qptmax

qptmax = qc / 1.3 to account for 2-D failure mechanism

J

(31)

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Soil Type	Medium	Medium	Fine sand	silty sand	clayey	sandy clay	plastic silt	silty clay	clay
	coarse	sand			sand	or loam			•
	sand								
Electrical	0.6 %	0.8 %	1.1 %	1.4 %	1.8 %	2.2 %	2.5 %	2.9 %	3.3 %
cone									
friction									
ratio (FR)									
Begemann	0 %	0 %	0 %	15 %	35 %	50 %	60 %	70 %	80 %
<16 μ									
fraction									
ASTM	SW	SW	SW	SM	SC	ML	CL	MH	СН
Symbol	SP	SP	SP						
% Fines	< 5 %	< 5 %	< 5 %	12 - 50 %	12 - 50 %	> 50 %	> 50 %	> 50 %	> 50 %
(<74µ)									
Plasticity	NP	NP	NP	Below "A"	Above "A"	LL < 50	LL < 50	LL > 50	LL > 50
properties				line	line	PI < 4	PI > 7	Below "A"	Above "A"
						Below "A"	Above "A"		

FIGURES

FIGURES



Fig. 1. Model Geometry



Fig. 2. Backbone Curve and Hysteretic Loop.



(FROM SEE AND IDRISS, 1970)



Fig. 4. MODULI DETERMINATIONS FOR GRAVELLY SOILS (AFTER SEED AND IDRISS, 1970)



Fig. 5. TYPICAL REDUCTION OF SHEAR MODULUS WITH SHEAR STRAIN FOR SATURATED CLAYS (AFTER SEED AND IDRISS, 1970)



Fig. 6. VARIATION OF SHEAR MODULUS WITH SHEAR STRAIN FOR SANDS (AFTER SEED AND IDRISS, 1970)

 $(0, \varepsilon_{12}) = (1 + \varepsilon_{12})^{-1} + (1 + \varepsilon_{12$

.





Fig. 8. Damping Ratio Range and Trends Measured in Clays in the Laboratory. (Dobry and Vucetic, 1987)

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Figure 9. Relation between γ_{rv} and Modulus Reduction and Damping Curves for Fully Saturated Soils Cyclically Sheared in Undrained Conditions (modified from Vucetic and Dobry, 1991)



Fig. 10. Comparison of Static and Dynamic tests Results (Dobry and Vucetic, 1987)



Figure 11. Sketch of the Results and the Definition of Parameters of a Cyclic Strain-Controlled Simple Shear Test on NC Clay



Fig. 12. Degradation Index Versus number of cycles (Dobry and Vucetic, 1987)



a) Results of Cyclic Strain-Controlled Tests on Different Soils (modified from Vucetic and Dobry, 1991)

b) Results of Cyclic Stress-Controlled Tests on Clay (modified from Andersen, 1983)

c) Effect of the Plasticity Index on the Degradation Parameter, *t*, for Normally Consolidated Clays







Figure¹5. Buildup of Residual Pore Water Pressure in Sand in Cyclic Triaxial Strain-Controlled Test (Dobry et al., 1982)



Figure 15L. Buildup of Residual Pore Water Pressure in Different Sands in Cyclic Triaxial Strain-Controlled Test (Presented by Dobry in NRC, 1985)



FIGURE 16. Schematic illustration of mechanism of pore pressure generation during cyclic loading. Source: Seed and Idriss (1982).



FIGURE 17. Tentative relationship between residual strength and SPT N-value. Source: Seed (1984).



Information on soil strata provided by the ratio of cone resistance and local friction.

Fig. 18. Friction Ratio Correlations with fines content



Verband tussen grootte van het wrijvingsgetal en de grondsoort voor de mechanische kleefmantelconus

Relation between the friction ratio and the type of soil for the mechanical adhesion jacket cone



0,6

0,8

Verband tussen grootte van het wrijvingsgetal en de grondsoort voor de cilindrische elektrische kleefmantelconus

Relation between the friction ratio and the type of soil for the cylindrical electrical adhesion jacket cone

Fig. 18. Friction Ratio Correlations with fines content and PI (Cont.)

Sheet1 Chart 2



Fig. 18. Friction Ratio Correlations with fines content and PI (Chart 2)



Fig. 19. Friction Modifier (Chart 3)







Fig. 21. Threshold Shear strain (Chart 4)



Fig. 22. Hyperbolic law to evaluate G/Gmax and Relative Energy Loss (Chart 5)

HYPVIB2.XLS Chart 6 a



HYPVIB2.XLS Chart 6 b







HYPVIB2.XLS Chart 6 은



HYPVIB2.XLS Chart 10 \sim



Fig. 29. Degradation Vs Strain (FR=1%) - (Chart 10a)

HYPVIB2.XLS Chart 10 b



HYPVIB2.XLS Chart 10උ





Fig. 32. Degradation Vs Strain (FR=4%) - (Chart 10d)



FIGURE 33 Pore pressure versus damage parameter k (logarithmic scale). Source: Finn (1981).





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Fig. 36. Pore pressure Vs relative strain for different numbers of cycles (Chart 9)